

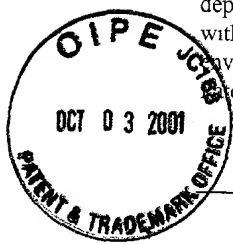
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 28, 2001

Date of Deposit

Joseph F. Hetz, Esq. - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

Signature



Case No. 10519/32

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CHRISTOPHER S. MOORE ET AL.

Serial No: 09/877,720

Examiner: Not Yet Assigned

Filed: June 8, 2001

Group Art Unit: 2818

For: MEMORY DEVICE AND METHOD  
FOR STORING AND READING  
DATA IN A WRITE-ONCE  
MEMORY ARRAY

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:


In accordance with the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted dated August 15, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:



Fully executed Declaration for Patent Application (2 pages), Assignment (5 pages) and Power of Attorney (1 page) for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ 1 Check totaling \$1,110.00 for:
- ☒ Filing Fee of \$710.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$270.00.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Other: Information Disclosure Statement (2 pages); Form PTO-1449 (3 pages); 8 U.S. References; 1 Foreign Reference; 32 Other Art References; Substitute Drawings (9 Sheets); Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

Dated: September 28, 2001

BRINKS HOFER GILSON & LIONE  
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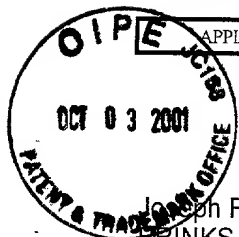
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov



APPLICATION NUMBER

09/877,720

FILING/RECEIPT DATE

06/08/2001

FIRST NAMED APPLICANT

Christopher S. Moore

ATTORNEY DOCKET NUMBER

10519/32

CONFIRMATION NO. 8937

## FORMALITIES LETTER



\*OC000000006428128\*

Joseph F. Hetz  
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CHICAGO, IL 60610

Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/05/2001 SZEWDIE1 00000032 09877720

01 FC:101	740.00 OP	FILED UNDER 37 CFR 1.53(b)	10/10/2001 SZEWDIE1 00000002 231925	09877720
02 FC:105	130.00 OP			
03 FC:197	240.00 OP			
		<i>Filing Date Granted</i>	01 FC:104	40.00 CH 240.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270. *Adjustment date: 10/10/2001 SZEWDIE1*  
  - \$270 for multiple dependent claim surcharge. *10/05/2001 SZEWDIE1 00000032 09877720*  
*03 FC:197 -240.00 OP*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because.
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]